

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Zhang et al.

SERIAL NO.: 09/387,418

DATE FILED: 8/31/99

EXAMINER: UNASSIGNED  
ART UNIT: 1643FOR: METHODS FOR IDENTIFYING MODULATORS OF  
TRANSCRIPTIONAL ACTIVATOR PROTEIN INTERACTIONS**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on 04/24/00.

Yan Wang  
(Name of depositor)

Yan Wang 04/24/00  
(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References AA through AH listed on the attached Form PTO-1449.

No fee is believed due for the filing of this statement inasmuch as it is being filed before the mailing of the first official action on the merits. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

Michael A. Yamin  
Agent for Applicants  
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10/09/95  
U.S. PTO  
03/04/02

Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO.	600-1-253
		SERIAL NO.	09/387,418
LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT	Zhang et al.
		FILING DATE	August 31, 1999
		GROUP	1643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	5,716,622	2/10/98	Darnell, Jr. et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	Bromberg et al., 1998, Mol Cell Biol, 18:2553-8
AC	Carey, 1998, Cell, 92:5-8
AD	Darrnell, 1997, Science, 277:1630-5
AE	Horvath et al., 1996, Mol Cell Biol, 16:6957-64
AF	Roeder, 1997, Trends Biochem Sci, 21:327-35
AG	Schaefer et al., 1997, Mol Cell Biol, 17:5307-16
AH	Schaefer et al., 1995, Proc Natl Acad Sci USA, 92:9097-101

EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next  
communication to applicant.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

600-1-253

JCP97 U.S. PTO  
10/090185  
03/04/02

APPLICANT(S): Zhang et al.

SERIAL NO.: 09/387,418

EXAMINER: T. McKelvey

DATE FILED: August 31, 1999

ART UNIT: 1636

FOR: METHODS FOR IDENTIFYING MODULATORS OF TRANSCRIPTIONAL  
ACTIVATOR PROTEIN INTERACTIONS

**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

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Betty Schultz  
(Name of depositor)

Betty Schultz 4/25/01  
(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References AI through AM listed on the attached Form PTO-1449. The undersigned agent for applicants hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. In particular, this reference was cited in the International Search Report dated February 19, 2001 established by the European Patent Office International Searching Authority in connection with the corresponding PCT International Application. A copy of the International Search Report is enclosed.

No fee is believed due for the filing of this statement. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,



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Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO.	600-1-253
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		GROUP	1636

10/09/185  
C597051 PTO  
03/04/02

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AI	WO 93/19179	12/30/93	PCT			
	AJ	WO 93/19179 corrected	12/30/93	PCT			
	AK	WO 95/08629	3/30/95	PCT			
	AL	WO 96/20954	7/11/96	PCT			
	AM	WO 99/14322	3/25/99	PCT			

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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